

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
<p>Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. <b>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.</b></p>					
1. REPORT DATE (DD-MM-YYYY) August 2012		2. REPORT TYPE Viewgraph		3. DATES COVERED (From - To) August 2012- November 2012	
4. TITLE AND SUBTITLE On the Non-Pauli Electronic States of Atoms and Molecules				5a. CONTRACT NUMBER In-House	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)  P.W. Langhoff and Jeff Mills				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER Q0C5	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  Air Force Research Laboratory (AFMC) AFRL/RQRP 10 E. Saturn Blvd. Edwards AFB CA 93524-7680				8. PERFORMING ORGANIZATION REPORT NO.	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) Air Force Research Laboratory (AFMC) AFRL/RQR 5 Pollux Drive Edwards AFB CA 93524-7048				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S) AFRL-RQ-ED-VG-2012-284	
12. DISTRIBUTION / AVAILABILITY STATEMENT Distribution A: Approved for Public Release; Distribution Unlimited. PA#12806					
13. SUPPLEMENTARY NOTES Conference paper for the 2012 California-Nevada Section Meeting of the American Physical Society, San Luis Obispo, California in 2-3 November 2012.					
14. ABSTRACT Schrödinger's equation for atoms and molecules supports solutions that are not totally antisymmetric under electron coordinate permutations. These non-Pauli eigenstates are generally regarded as unphysical, with interest in them centered largely on their role as possible "contaminants" in physical solutions constructed by methods that provide only approximate antisymmetry, such as exchange perturbation theories, many-body diagrammatic approaches, and variational methods in the absence of precise prior enforcement of basis-state antisymmetry. Here we report atomic and molecular non-Pauli Schrödinger solutions employing largely pedestrian methods as an alternative to the more complicated Wigner-Weyl approach based on theory of the symmetric group. Using the non-relativistic Hamiltonian operator and spin-orbital product representations in variational calculations, we show that every antisymmetric Schrödinger eigenstate of an n electron atom or molecule is accompanied by 2 <sup>n</sup> -1 degenerate non-Pauli "ghost" solutions. As a consequence of this degeneracy, admixtures of non-Pauli states are always present in Pauli solutions having only approximate antisymmetry. These can significantly affect calculated expectation values, even in the face of precise energy predictions.					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON Wayne Kalliomma
a. REPORT  Unclassified	b. ABSTRACT  Unclassified	c. THIS PAGE  Unclassified			19b. TELEPHONE NO (include area code) 661-275-6442

# On the Non-Pauli States of Atoms and Molecules<sup>a</sup>

J.D. Mills & P.W. Langhoff, AFRL/UCSD

- The Schrödinger equation allows “non-Pauli” solutions.
- A formal theory was given by Wigner and Weyl.
- A pedestrian variational approach is described here.
- Non-Pauli states can “contaminate” antisymmetric states.<sup>b</sup>

**a** - Supported by AFOSR/AFRL/NRC

**b** - Theor. Chem. Accts. **120**, 199-213 (2008).

Distribution A: approved for public release; distribution unlimited.

## The Central Ideas

- An unrestricted Hartree product of atomic spin orbitals is complete for Pauli and non-Pauli Schrödinger eigenstates.
- The non-relativistic Hamiltonian matrix in this representation can be constructed employing standard methods.
- Pauli and non-Pauli states can be separated *ex post facto*.<sup>a</sup>
- Totally antisymmetric Schrödinger eigenstates are always accompanied by  $2^n-1$  degenerate non-Pauli ghost states.

**a** - Chem. Phys. Lett. **358**, 231-236 (2002)

Distribution A: approved for public release; distribution unlimited.

## The Basic Equations

Schrödinger equation:

$$\hat{H}(\mathbf{r})\Psi(\mathbf{r}) = \Psi(\mathbf{r}) \cdot \mathbf{E}$$

Non-relativistic Hamiltonian operator:

$$\hat{H}(\mathbf{r}) = \sum_{i=1}^n \left\{ -\frac{\hbar^2}{2m} \nabla_i^2 - \frac{Ze^2}{r_i} + \sum_{j=i+1}^n \frac{e^2}{r_{ij}} \right\}$$

Spin-orbital Hartree-product representation:

$$\Phi(\mathbf{r}) = \{\phi(\mathbf{1}) \otimes \phi(\mathbf{2}) \otimes \cdots \phi(\mathbf{n})\}_O$$

Spin-orbital row vector:

$$\phi(\mathbf{i}) = \{1s\alpha(\mathbf{i}), 1s\beta(\mathbf{i}), 2s\alpha(\mathbf{i}), 2s\beta(\mathbf{i}), \dots\}$$

Distribution A: approved for public release; distribution unlimited.

## A Simple Example - Atomic Helium

Two spin orbitals:

$$\phi(1) = \{1s\alpha(1), 1s\beta(1)\}, \quad \phi(2) = \{1s\alpha(2), 1s\beta(2)\}$$

Spin-orbital Hartree-product representation:

$$\Phi(1, 2) = \{\phi(1) \otimes \phi(2)\}_O$$

$$= 1s(1)1s(2) \{\alpha(1)\alpha(2), \alpha(1)\beta(2), \beta(1)\alpha(2), \beta(1)\beta(2)\}$$

Hamiltonian operator:

$$\hat{H}(\mathbf{r}) = \sum_{i=1}^2 \left\{ -\frac{\hbar^2}{2m} \nabla_i^2 - \frac{2e^2}{r_i} \right\} + \frac{e^2}{r_{12}}$$

Distribution A: approved for public release; distribution unlimited.

## Atomic Helium - Energy Matrix

Hamiltonian matrix:

$$\begin{aligned}\mathbf{H} &\equiv \langle \Phi(\mathbf{r}) | \hat{H}(\mathbf{r}) | \Phi(\mathbf{r}) \rangle \\ &= \begin{pmatrix} E_{1s^2} & 0 & 0 & 0 \\ 0 & E_{1s^2} & 0 & 0 \\ 0 & 0 & E_{1s^2} & 0 \\ 0 & 0 & 0 & E_{1s^2} \end{pmatrix} \\ E_{1s^2} &= 2 \langle 1s(1) | -\frac{\hbar^2}{2m} \nabla_1^2 - \frac{2e^2}{r_1} | 1s(1) \rangle \\ &\quad + \langle 1s(1)1s(2) | \frac{e^2}{r_{12}} | 1s(1)1s(2) \rangle\end{aligned}$$

Distribution A: approved for public release; distribution unlimited.

## Atomic Helium - Spin Eigenstates

Spin singlet eigenstate (S=0):

$$1s(1)1s(2) \frac{1}{\sqrt{2}} \{ \alpha(1)\beta(2) - \beta(1)\alpha(2) \} (M_S = 0)$$

Spin triplet eigenstates (S=1):

$$1s(1)1s(2) \{ \alpha(1)\alpha(2) \} (M_S = +1)$$

$$1s(1)1s(2) \frac{1}{\sqrt{2}} \{ \alpha(1)\beta(2) + \beta(1)\alpha(2) \} (M_S = 0)$$

$$1s(1)1s(2) \{ \beta(1)\beta(2) \} (M_S = -1)$$

Distribution A: approved for public release; distribution unlimited.

## Another Example - Atomic Lithium

Four spin orbitals for each electron:

$$\phi(1) = \{1s\alpha(1), 1s\beta(1), 2s\alpha(1), 2s\beta(1)\}$$

$$\phi(2) = \{1s\alpha(2), 1s\beta(2), 2s\alpha(2), 2s\beta(2)\}$$

$$\phi(3) = \{1s\alpha(3), 1s\beta(3), 2s\alpha(3), 2s\beta(3)\}$$

Spin-orbital Hartree-product representation (64 terms):

$$\Phi(1, 2, 3) = \{\phi(1) \otimes \phi(2) \otimes \phi(3)\}_O$$

Distribution A: approved for public release; distribution unlimited.



## Atomic Lithium - Basis Functions

Spin (8) and space (8) basis functions:

$$\Phi(\mathbf{r}) = \Phi_{space}(\mathbf{r}) \otimes \Phi_{spin}(\mathbf{r})$$

$$\begin{aligned} \Phi_{spin}(\mathbf{r}) = \{ & \alpha(1)\alpha(2)\alpha(3), \alpha(1)\alpha(2)\beta(3), \alpha(1)\beta(2)\alpha(3), \\ & \alpha(1)\beta(2)\beta(3), \beta(1)\alpha(2)\alpha(3), \beta(1)\alpha(2)\beta(3), \\ & \beta(1)\beta(2)\alpha(3), \beta(1)\beta(2)\beta(3) \} \end{aligned}$$

$$\begin{aligned} \Phi_{space}(\mathbf{r}) = \{ & 1s(1)1s(2)1s(3), 1s(1)1s(2)2s(3), 1s(1)2s(2)1s(3), \\ & 1s(1)2s(2)2s(3), 2s(1)1s(2)1s(3), 2s(1)1s(2)2s(3), \\ & 2s(1)2s(2)1s(3), 2s(1)2s(2)2s(3) \} \end{aligned}$$

Distribution A: approved for public release; distribution unlimited.

## Atomic Lithium - Energy Matrix

Hamiltonian matrix:

$$\mathbf{H} \equiv \langle \Phi(\mathbf{r}) | \hat{H}(\mathbf{r}) | \Phi(\mathbf{r}) \rangle$$

$$\Phi(\mathbf{r}) = \Phi_{space}(\mathbf{r}) \otimes \Phi_{spin}(\mathbf{r})$$

$$\mathbf{H} = \begin{pmatrix} \mathbf{H}_{8x8} & \mathbf{0} & \cdot & \cdot & \mathbf{0} \\ \mathbf{0} & \mathbf{H}_{8x8} & \cdot & \cdot & \mathbf{0} \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \mathbf{0} & \mathbf{0} & \cdot & \cdot & \mathbf{H}_{8x8} \end{pmatrix}_{64x64}$$

$$\mathbf{H}_{8x8} \equiv \langle \Phi_{space}(\mathbf{r}) | \hat{H}(\mathbf{r}) | \Phi_{space}(\mathbf{r}) \rangle$$

Distribution A: approved for public release; distribution unlimited.

## Atomic Lithium - Energy (au) Ladder

Pauli States (theory/exp.)	Non-Pauli States
-	-1.766 (8)
-	-5.202 (8)
$(1s2s^2)^2S(+1/2)$ -5.232/-5.323	-5.232 (7)
$(1s2s^2)^2S(-1/2)$ -5.232/-5.323	-5.232 (7)
-	-7.348 (8)
$(1s^22s)^2S(+1/2)$ -7.433/-7.476	-7.433 (7)
$(1s^22s)^2S(-1/2)$ -7.433/-7.476	-7.433 (7)
-	-8.422 (8)

Distribution A: approved for public release; distribution unlimited.

## Atomic Lithium - In Summary

- There are 8 unique energies each of which is 8-fold degenerate
- There are 4 Pauli states  $(1s^2 2s)^2 S(\pm 1/2)$ ,  $(1s 2s^2)^2 S(\pm 1/2)$
- There are 20 totally symmetric states
- There are 40 mixed symmetry states
- Each physical state has 7 degenerate non-Pauli states

Distribution A: approved for public release; distribution unlimited.

## Generalizations

- The Hartree product always factors;  $\Phi(\mathbf{r}) = \Phi_{space}(\mathbf{r}) \otimes \Phi_{spin}(\mathbf{r})$ .
- The dimension of  $\Phi_{spin}(\mathbf{r})$  is  $2^n$  for  $n$  electrons.
- The dimension of  $\Phi_{space}(\mathbf{r})$  is arbitrarily large.
- Every physical Schrödinger state has  $2^n - 1$  non-Pauli ghosts.
- The number of non-Pauli states increases rapidly with  $n$ .
- Degenerate non-Pauli states can contribute to physical states in the absence of precise total antisymmetry.
- Such mixed states can provide accurate energies but can include significant non-Pauli contributions to physical expectation values.

Distribution A: approved for public release; distribution unlimited.